



3763

Docket No. CRD-0967

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stanko Bodnar, et al.

Serial No. : 09/966,783

Art Unit: 3763

Filed : September 28, 2001 Examiner:

For : COATED MEDICAL DEVICES AND STERILIZATION THEREOF



I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope addressed
to: Commissioner For Patents, Washington, D.C. 20231 on

December 17, 2001

(Date of Deposit)

Carl J. Evens

(Name of applicant, assignee, or Registered Representative)

(Signature)

December 17, 2001

(Date of Signature)

Commissioner for Patents
Washington, D.C. 20231

RECEIVED
FEB 26 2002
TC 1700

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Statement in Accordance with §1.97(e)
(attached); or

☐ Please charge Deposit Account No. 10-0750/ /
the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e)
(attached); or
- ☐ Please charge Deposit Account No. 10-0750/ /
the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

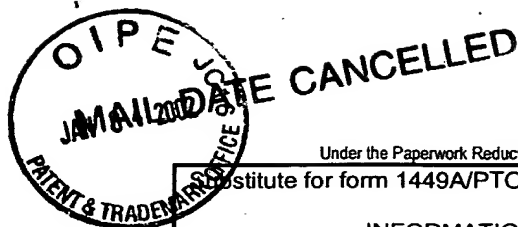
Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD-0967/CJE. This form is submitted in triplicate.

Respectfully submitted,



Carl J. Evens
Reg. No. 33874
Attorney for Applicants

Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003
(732) 524-2518
DATED: December 17, 2001



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|--|------------------------|----------------------|
| Institute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 10 | Application Number | 09/966,783 |
| | Filing Date | September 28, 2001 |
| | First Named Inventor | Stanko Bodnar et al. |
| | Group Art Unit | 3763 |
| | Examiner Name | |
| | Attorney Docket Number | CRD-0967 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|---|--|
| | | Number | Kind Code ² (if known) | | | |
| | | D359,802 | | Fontaine | 6/27/1995 | |
| | | 3,932,627 | | Margraf | 01-13-1976 | |
| | | 4,441,216 | | Ionescu et al. | 4/10/1984 | |
| | | 4,580,568 | | Gianturco | 4/8/1986 | |
| | | 4,800,882 | | Gianturco | 1/31/1989 | |
| | | 4,856,516 | | Hillstead | 8/15/1989 | |
| | | 4,886,062 | | Wiktor | 12/12/1989 | |
| | | 4,907,336 | | Gianturco | 3/13/1990 | |
| | | 4,969,458 | | Wiktor | 11/13/1990 | |
| | | 4,990,131 | | Dardik | 2/5/1991 | |
| | | 4,990,155 | | Wilkoff | 2/5/1991 | |
| | | 4,994,071 | | MacGregor | 2/19/1991 | |
| | | 5,015,253 | | MacGregor | 5/14/1991 | |
| | | 6,120,536 | | Dinge et al. | 09-19-2000 | |

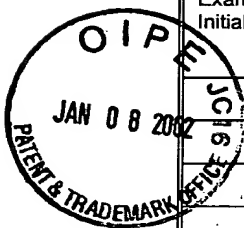
FOREIGN PATENT DOCUMENTS

| Examine r Initials | Cite No. 1 | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear | T ⁶ |
|--------------------------|------------------|---|---------------------|---|--|---|---|----------------|
| | | Office ³ Kind Code ⁵ | Number ⁴ | | | | | |
| | | EP | 0 712 615 | ✓ | Advanced Cardiovascular Systems Inc. | 5/22/96 | | |
| | | EP | 0 716 836 | ✓ | Advanced Cardiovascular Systems Inc. | 6/19/96 | | |
| | | EP | 0 761 251 | ✓ | Kabushikikaisha Igaki Iryo Sekkei | 3/12/97 | | |
| | | EP | 0 850 651 | ✓ | Schneider Inc. | 7/1/98 | | |
| | | WO | 96/32907 | ✓ | Schneider Inc. | 10/24/96 | | |
| | | WO | 98/23228 | ✓ | Alza Corporation | 6/4/98 | | |
| | | WO | 98/34669 | ✓ | Ensovasc Ltd. Inc. | 8/13/98 | | |

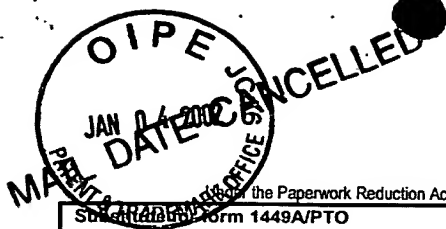
| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter



RECEIVED
JAN 10 2002
TC 3700 MAIL ROOM



PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substantive Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

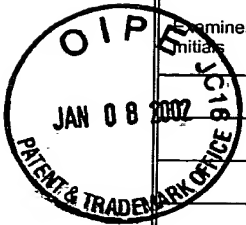
Sheet 2 of 10

| | |
|------------------------|----------------------|
| Application Number | 09/966,783 |
| Filing Date | September 28, 2001 |
| First Named Inventor | Stanko Bodnar et al. |
| Group Art Unit | 3763 |
| Examiner Name | |
| Attorney Docket Number | CRD-0967 |

U.S. PATENT DOCUMENTS

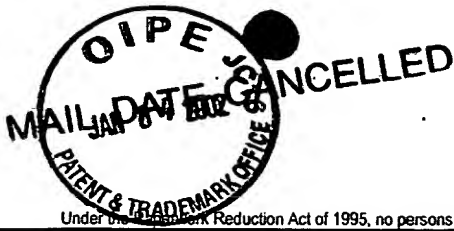
| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document ² mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|---|---|
| | | Number | Kind Code ² (if known) | | | |
| | | 5,035,706 | | Gianturco | 7/30/1991 | |
| | | 5,041,126 | | Gianturco | 8/20/1991 | |
| | | 5,064,435 | | Porter | 11/12/1991 | |
| | | 5,104,404 | | Wolff | 4/14/1992 | |
| | | 5,116,365 | | Hillstead | 5/26/1992 | |
| | | 5,122,154 | | Rhodes | 6/16/1992 | |
| | | 5,131,908 | | Dardik et al. | 7/21/1992 | |
| | | 5,133,732 | | Wiktor | 7/28/1992 | |
| | | 5135,536 | | Hillstead | 8/4/1992 | |
| | | 5,163,958 | | Pinchuk | 11/17/1992 | |
| | | 5,171,262 | | MacGregor | 12/15/1992 | |
| | | 5,176,660 | | Truckai | 1/5/1993 | |
| | | 5,178,618 | | Kandarpa | 1/12/1993 | |
| | | 5,192,307 | | Wall | 3/9/1993 | |
| | | 5,217,483 | | Tower | 6/8/1993 | |
| | | 5,222,971 | | Willard et al. | 6/29/1993 | |
| | | 5,234,456 | | Silvestrini, T. | 8/10/93 | |
| | | 5,246,445 | | Yachia et al. | 9/21/93 | |
| | | 5,258,021 | | Duran | 11/2/93 | |
| | | 5,266,073 | | Wall | 11/30/93 | |
| | | 5,275,622 | | Lazarus et al. | 1/4/94 | |
| | | 5,282,823 | | Schwartz et al. | 2/1/94 | |
| | | 5,282,824 | | Gianturco | 2/1/94 | |
| | | 5,292,331 | | Boneau | 3/8/94 | |
| | | 5,304,200 | | Spaulding | 4/19/94 | |

RECEIVED
JAN 10 2002
TO 3700 MAIL ROOM



| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Trademark Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 10

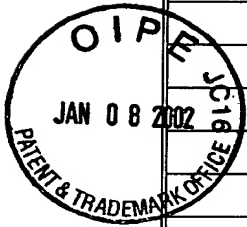
| | |
|-------------------------------|----------------------|
| Application Number | 09/966,783 |
| Filing Date | September 28, 2001 |
| First Named Inventor | Stanko Bodnar et al. |
| Group Art Unit | 3763 |
| Examiner Name | |
| Attorney Docket Number | CRD-0967 |

U.S. PATENT DOCUMENTS

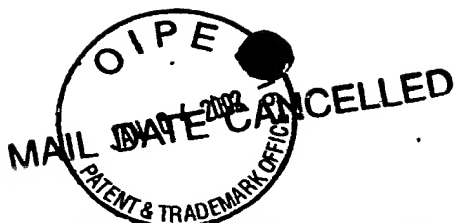
| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
| | | Number | Kind Code ² (if known) | | | |
| | | 5,314,444 | | Gianturco | 5/24/1994 | |
| | | 5,314,472 | | Fontaine | 5/24/1994 | |
| | | 5,334,301 | | Heinke et al. | 8/2/1994 | |
| | | 5,342,348 | | Kaplan, A. | 8/30/1994 | |
| | | 5,342,387 | | Summers | 8/30/94 | |
| | | 5,354,257 | | Roubin et al. | 10/11/94 | |
| | | 5,354,308 | | Simon et al. | 10/11/94 | |
| | | 5,366,504 | | Andersen et al. | 11/22/94 | |
| | | 5,370,683 | | Fontaine | 12/6/94 | |
| | | 5,370,691 | | Samson | 12/6/94 | |
| | | 5,375,612 | | Cottenceau et al. | 12/27/94 | |
| | | 5,376,112 | | Duran | 12/27/94 | |
| | | 5,382,261 | | Palmaz | 1/17/95 | |
| | | 5,383,928 | | Scott et al. | 1/24/1995 | |
| | | 5,387,235 | | Chuter | 2/7/95 | |
| | | 5,389,106 | | Tower | 2/14/95 | |
| | | 5,395,390 | | Simon et al. | 3/7/95 | |
| | | 5,397,355 | | Marin et al. | 3/14/95 | |
| | | 5,403,341 | | Solar | 4/4/95 | |
| | | 5,405,377 | | Cragg | 4/11/95 | |
| | | 5,411,549 | | Peters | 5/2/95 | |
| | | 5,423,885 | | Williams | 6/13/95 | |
| | | 5,441,515 | | Khosravi et al. | 8/15/95 | |
| | | 5,441,516 | | Wang et al. | 8/15/95 | |
| | | 5,443,477 | | Marin et al. | 8/22/95 | |
| | | 5,443,496 | | Schwartz et al. | 8/22/95 | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



RECEIVED
JAN 10 2002
MAIL ROOM



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

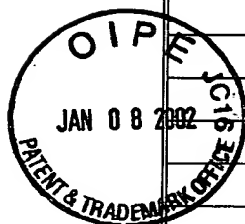
(use as many sheets as necessary)

Sheet 4 of 10

| | |
|------------------------|----------------------|
| Application Number | 09/966,783 |
| Filing Date | September 28, 2001 |
| First Named Inventor | Stanko Bodnar et al. |
| Group Art Unit | 3763 |
| Examiner Name | |
| Attorney Docket Number | CRD-0967 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
| | | Number | Kind Code ² (if known) | | | |
| | | 5,443,498 | | Fontaine | 8/22/95 | |
| | | 5,443,500 | | Sigwart | 8/22/95 | |
| | | 5,449,372 | | Schmaltz et al. | 9/12/95 | |
| | | 5,449,373 | | Pinchasik et al. | 9/12/95 | |
| | | 5,449,382 | | Dayton, M. | 9/12/95 | |
| | | 5,464,450 | | Buscemi et al. | 11/17/95 | |
| | | 5,464,650 | | Berg et al. | 11/7/95 | |
| | | 5,500,013 | | Buscemi et al. | 3/19/96 | |
| | | 5,510,077 | | Dinh et al. | 4/23/96 | |
| | | 5,545,208 | | Wolff et al. | 8/13/96 | |
| | | 5,554,954 | | Takahashi | 9/10/96 | |
| | | 5,554,182 | | Dinh et al. | 9/10/96 | |
| | | 5,562,922 | | Lambert, T. | 10/8/96 | |
| | | 5,571,166 | | Dinh et al. | 11/5/96 | |
| | | 5,578,075 | | Dayton, M. | 11/26/96 | |
| | | 5,591,224 | | Schwartz et al. | 1/7/97 | |
| | | 5,591,227 | | Dinh et al. | 1/7/97 | |
| | | 5,599,352 | | Dinh et al. | 2/4/97 | |
| | | 5,603,722 | | Phan et al. | 2/18/97 | |
| | | 5,605,696 | | Eury et al. | 2/25/97 | |
| | | 5,607,463 | | Schwartz et al. | 3/4/97 | |
| | | 5,607,475 | | Cahalan et al. | 3/4/97 | |
| | | 5,609,629 | | Fearnot et al. | 3/11/97 | |
| | | 5,624,411 | | Tuch, R. | 4/29/97 | |
| | | 5,628,785 | | Schwartz et al. | 5/13/97 | |
| | | 5,629,077 | | Turnlund et al. | 5/13/97 | |
| | | 5,632,840 | | Campbell, P. | 5/27/97 | |

RECEIVED
JAN 10 2002
TC-3763 MAIL ROOM

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|--|-------------------------------|----------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 5 of 10 | Application Number | 09/966,783 |
| | Filing Date | September 28, 2001 |
| | First Named Inventor | Stanko Bodnar et al. |
| | Group Art Unit | 3763 |
| | Examiner Name | |
| | Attorney Docket Number | CRD-0967 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
| | | Number | Kind Code ² (if known) | | | |
| | | 5,632,763 | | Glastra, H. | 5/27/97 | |
| | | 5,637,113 | | Tartaglia et al. | 6/10/1997 | |
| | | 5,643,312 | | Fischell et al. | 7/1/97 | |
| | | 5,669,924 | | Shaknovich, A. | 9/23/97 | |
| | | 5,679,400 | | Tuch, R. | 10/21/1997 | |
| | | 5,679,659 | | Verhoeven et al. | 10/21/1997 | |
| | | 5,693,085 | | Buirge et al. | 12/2/1997 | |
| | | 5,697,967 | | Dinh et al. | 12/16/1997 | |
| | | 5,697,971 | | Fischell et al. | 12/16/1997 | |
| | | 5,649,952 | | Lam | 7/22/1997 | |
| | | 5,700,286 | | Tartaglia et al. | 12/23/1997 | |
| | | 5,707,385 | | Williams, M. | 1/13/1998 | |
| | | 5,725,567 | | Wolff et al. | 3/10/1998 | |
| | | 5,728,420 | | Keogh, J. | 3/17/1998 | |
| | | 5,733,327 | | Igaki et al. | 3/31/1998 | |
| | | 5,735,897 | | Buirge, A. | 4/7/1998 | |
| | | 5,755,734 | | Richter et al. | 5/26/98 | |
| | | 5,799,384 | | Schwartz et al. | 9/1/98 | |
| | | 5,800,507 | | Schwartz, R. | 9/1/98 | |
| | | 5,800,508 | | Goicoechea et al. | 9/1/98 | |
| | | 5,820,918 | | Ronan et al. | 10/13/98 | |
| | | 5,824,048 | | Tuch, R. | 10/20/98 | |
| | | 5,824,049 | | Ragheb et al. | 10/20/98 | |
| | | 5,833,651 | | Donovan et al. | 11/10/98 | |
| | | 5,837,008 | | Berg et al. | 11/17/98 | |
| | | 5,843,172 | | Yan, J. | 12/1/98 | |
| | | 5,849,034 | | Schwartz, R. | 12/15/98 | |
| | | 5,851,217 | | Wolff et al. | 12/22/98 | |

RECEIVED
JAN 10 2002
TC 3700 MAIL ROOM



| | | | |
|-------------------------------|--|----------------------------|--|
| Examiner Signature | | Date Considered | |
|-------------------------------|--|----------------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

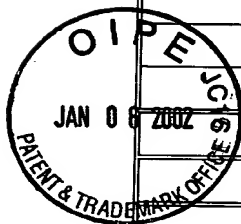
(use as many sheets as necessary)

Sheet 6 of 10

| | |
|-------------------------------|----------------------|
| <i>Application Number</i> | 09/966,783 |
| <i>Filing Date</i> | September 28, 2001 |
| <i>First Named Inventor</i> | Stanko Bodnar et al. |
| <i>Group Art Unit</i> | 3763 |
| <i>Examiner Name</i> | |
| <i>Attorney Docket Number</i> | CRD-0967 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
|----------------------|--------------------------|--------------------------------------|-------------|--|--|---|
| | | Code ² Number known | Kind (if | | | |
| | | 5,851,231 | | Wolff et al. | 12/22/98 | |
| | | 5,861,027 | | Trapp, R. | 1/19/99 | |
| | | 5,865,814 | | Tuch, R. | 2/2/99 | |
| | | 5,871,535 | | Wolff et al. | 2/16/99 | |
| | | 5,879,697 | | Ding et al. | 3/9/99 | |
| | | 5,882,335 | | Leone et al. | 3/16/99 | |
| | | 5,820,917 | | Tuch, R. | 10/13/98 | |
| | | 5,837,313 | | Ding et al. | 11/17/98 | |
| | | 5,728,150 | | McDonald et al. | 3/17/98 | |
| | | 5,283,257 | | Gregory et al. | 2/1/94 | |
| | | 5,288,711 | | Mitchell et al. | 2/22/94 | |
| | | 5,516,781 | | Morris et al. | 5/14/96 | |
| | | 5,563,146 | | Morris et al. | 10/8/96 | |
| | | 5,646,160 | | Morris et al. | 7/8/97 | |
| | | 3,657,744 | | Ersek | 4/25/72 | |
| | | 4,503,569 | | Dotter | 3/12/85 | |
| | | 4,553,545 | | Maass et al. | 11/19/85 | |
| | | 4,733,665 | | Palmaz | 3/29/88 | |
| | | 4,776,337 | | Palmaz | 10/11/88 | |
| | | 5,102,417 | | Palmaz | 4/7/92 | |
| | | 5,195,984 | | Shalz | 3/23/93 | |
| | | 5,290,305 | | Inoue | 3/1/94 | |
| | | 5,421,955 | | Lau | 6/6/95 | |
| | | 5,496,365 | | Sgro | 3/5/96 | |
| | | 5,527,354 | | Fontaine et al. | 6/18/96 | |
| | | 5,569,295 | | Lam | 10/29/96 | |
| | | 5,591,197 | | Orth et al. | 1/7/97 | |
| | | | | | | |
| | | | | | | |



RECEIVED
JAN 10 2002
TO 3700 MAIL ROOM

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

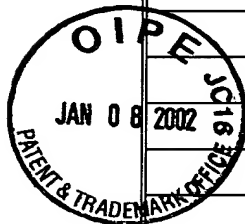
Sheet 7 of 10

| | |
|-------------------------------|----------------------|
| Application Number | 09/966,783 |
| Filing Date | September 28, 2001 |
| First Named Inventor | Stanko Bodnar et al. |
| Group Art Unit | 3763 |
| Examiner Name | |
| Attorney Docket Number | CRD-0967 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
| | | Number | Kind Code ² (if known) | | | |
| | | 4,292,965 | | Nash et al. | 10-06-1981 | |
| | | 4,613,665 | | Larm | 09-23-1986 | |
| | | 4,655,771 | | Wallsten | 04-07-1987 | |
| | | 4,872,867 | | Joh | 10-10-1989 | |
| | | 4,916,193 | | Tang et al. | 04-10-1990 | |
| | | 4,954,126 | | Wallsten | 09-04-1990 | |
| | | 5,053,048 | | Pinchuk | 10-01-1991 | |
| | | 5,061,275 | | Wallsten et al. | 10-29-1991 | |
| | | 5,092,877 | | Pinchuk | 03-03-1992 | |
| | | 5,163,952 | | Froix | 11-17-1992 | |
| | | 5,180,366 | | Woods | 01-19-1993 | |
| | | 5,182,317 | | Winters et al. | 01-26-1993 | |
| | | 5,185,408 | | Tang et al. | 02-09-1993 | |
| | | 5,226,913 | | Pinchuk | 07-13-1993 | |
| | | 5,258,020 | | Froix | 11-02-1993 | |
| | | 5,262,451 | | Winters et al. | 11-16-1993 | |
| | | 5,292,802 | | Rhee et al. | 03-08-1994 | |
| | | 5,304,121 | | Sahatjian | 04-19-1994 | |
| | | 5,308,889 | | Rhee et al. | 05-03-1994 | |
| | | 5,338,770 | | Winters et al. | 08-16-1994 | |
| | | 5,356,433 | | Rowland et al. | 10-18-1994 | |
| | | 5,380,299 | | Fearnot et al. | 01-10-1995 | |
| | | 5,415,619 | | Lee et al. | 05-16-1995 | |
| | | 5,419,760 | | Narciso, Jr. | 05-30-1995 | |
| | | 5,429,618 | | Keogh | 07-04-1995 | |
| | | 5,447,724 | | Heimus et al. | 09-05-1995 | |
| | | 6,214,901 | B1 | Chudzik et al. | 04-10-2001 | |
| | | 6,258,121 | B1 | Yang et al. | 07-10-2001 | |
| | | 2001/0007083 | A1 | Roorda (PATENT APP. PUBLICATION) | 07-05-2001 | |

RECEIVED
JAN 10 2002
TC 3700 MAIL ROOM



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 8 of 10

| | |
|------------------------|----------------------|
| Application Number | 09/966,783 |
| Filing Date | September 28, 2001 |
| First Named Inventor | Stanko Bodnar et al. |
| Group Art Unit | 3763 |
| Examiner Name | |
| Attorney Docket Number | CRD-0967 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear | T ⁶ |
|----------------------|--------------------------|-------------------------|---------------------|-----------------------|--|--|--|----------------|
| | | Office ³ | Number ⁴ | KindCode ⁵ | | | | |
| | | WO | 98/56312 | A1 | SciMed Life Systems Inc. | 12-17-1998 | | |
| | | EP | 0 938 878 | A3 | Rakos, et al. | 09-01-1999 | | |
| | | EP | 0 938 878 | A2 | Rakos, et al. | 09-01-1999 | | |
| | | WO | 97/33534 | A1 | Globerman et al. | 09-18-1997 | | |
| | | WO | 98/47447 | A1 | Dubrul, W. | 10-29-1998 | | |
| | | WO | 97/25000 | A1 | Chuter, Timothy A. M. | 07-17-1997 | | |
| | | EPO | 734698 | A2 | Variomed AG | 03-28-1996 | | |
| | | EPO | 800801 | A1 | Advanced Cardiovascular Systems Inc. | 08-01-1996 | | |
| | | EPO | 830853 | A1 | Medinol Ltd. | 07-03-1997 | | |
| | | WO | 96/26689 | B1 | Scimed Life Systems Inc. | 09-06-1996 | | |
| | | EPO | 540290 | A2 | Advanced Cardiovascular Sys | 10-27-1992 | | |
| | | UK | 1 205 743 | | Colin Charles Didcott | 09-16-1970 | | |
| | | DE | 3205942 | A1 | Vasilev, Ljubomir, Dr. | 09-08-1983 | | N |
| | | FR | 0 566 807 | A1 | Yarrow, Harold Edgar | 04-24-1992 | | N |
| | | GB | 0 662 307 | A2 | Frank B. Dehn & Co | 12-05-1951 | | |
| | | WO | 96/34580 | | Dibie, Alain | 11-07-1996 | | |
| | | WO | 98/19628 | | Vardi, Gil M. | 05-14-1998 | | |
| | | EPO | 604 022 | A1 | Advanced Cardiovascular Systems, Inc. | 06-29-1994 | | |
| | | EPO | 621 015 | A1 | Schneider Europ AG (CH) | 10-26-1994 | | |
| | | EPO | 623 354 | A1 | Medtronic, Inc. | 11-09-1994 | | |
| | | EPO | 716 836 | A1 | Advanced Cardiovascular System | 06-19-1996 | | |
| | | EPO | 734 721 | A2 | Advanced Cardiovascular System | 10-02-1996 | | |
| | | WO | 91/12779 | A1 | Medtronic Inc. | 09-05-1991 | | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial

MAIL DATE CANCELLED

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 9 of 10

| | |
|-------------------------------|----------------------|
| Application Number | 09/966,783 |
| Filing Date | September 28, 2001 |
| First Named Inventor | Stanko Bodnar et al. |
| Group Art Unit | 3763 |
| Examiner Name | |
| Attorney Docket Number | CRD-0967 |

FOREIGN PATENT DOCUMENTS

[illegible]

RECEIVED
JAN 10 2002
TC 3700 MAIL ROOM

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered to be a true and correct copy of the original.

| EXAMINER | Considered |
|--|------------|
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| | |
|-------------------------------|----------------------|
| Application Number | 09/966,783 |
| Filing Date | September 28, 2001 |
| First Named Inventor | Stanko Bodnar et al. |
| Group Art Unit | 3763 |
| Examiner Name | |
| Attorney Docket Number | CRD-0967 |

| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
| | | Number | Kind Code ² (if known) | | | |
| | | 6,299,604 | B1 | Ragheb et al. | 10-09-2001 | |
| | | 6,306,166 | B1 | Barry et al. | 10-23-2001 | |
| | | 6,306,176 | B1 | Whitbourne | 10-23-2001 | |
| | | 6,309,380 | B1 | Larson et al. | 10-30-2001 | |
| | | 6,309,660 | B1 | Hsu et al. | 10-30-2001 | |
| | | 20010029660 | A1 | Johnson, Michael W. | 10-18-2001 | |
| | | 20010032014 | A1 | Yang, Dachuan et al. | 10-18-2001 | |
| | | 20010034363 | A1 | Li, Chun et al. | 10-25-2001 | |
| | | 20010037145 | A1 | Guruwaiya, Judy A. et al. | 11-01-2001 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

REC
JAN
TC 3700

FOREIGN PATENT DOCUMENTS

[illegible]

| | |
|---|------------|
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | Considered |
|---|------------|

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.